

# Revalidation

Implementation for doctors in training

# Principles: *Safety, Trust and Assurance*

Should focus on:

- Patient safety (ensuring doctors are maintaining and raising professional standards)
- Effectiveness of care
- Patient experience

And provides assurance to patients, employers and other healthcare professionals.

# Principles

- Revalidation is a cyclical and continuing process, it is not a point in time assessment
- Doctors have to demonstrate to the GMC, every five years, that they are up to date, fit to practise and are complying with the relevant professional standards

# Principles

- Confirms that a doctor is working within a governed system.
- All doctors are required to have a prescribed connection to a designated body, and one Responsible Officer.
- All doctors are required to engage with systems of periodic review.
- It should be implemented in a fair and proportionate way based on consistent principles.

# Who needs to revalidate?

All doctors with full registration and a licence to practise will need to revalidate.

All F1 doctors will be given a license to practice on receipt of full registration

# When does it start?

## 3<sup>rd</sup> December 2012

A recommendation about the revalidation date of all licensed doctors is expected from their Responsible Officer following the start of the legislation in December 2012.

# When do I revalidate?

- The revalidation date for trainees
  - should be set at 5 years from the date at which the legislation commences in December 2012
  - **Or**, at the point at which they are expected to become eligible to apply for a CCT, whichever comes sooner.
- Only doctors at F2 level and above will be given a date.
- All other doctors will receive a date once they have obtained full registration and license to practice



# Trainee Connections

- The Responsible Officer (RO) for trainee doctors is the Postgraduate Dean for the deanery in which they are training, and this [Deanery] will normally have issued their NTN.
- The Designated Body for trainee doctors is the Postgraduate Deanery
- Your RO has initially been allocated depending on your response to the GMC 2012 trainee survey
- It is your responsibility to ensure your RO is correct.

# What happens if I have change deaneries or left training?

- Change deaneries:
  - Your RO transfers to your new deanery and the information regarding revalidation will be transferred to your new deanery.
- Leave training:
  - You must nominate a new RO. This would normally be the RO of your new designated body e.g. Medical Director of your new employer.
  - Information regarding revalidation would be passed on from the deanery to your new designated body.

# Annual Review of Competence Progression

- Annual reviews for revalidation purposes will be done by an enhanced ARCP process in England
- 3 forms will be required:
  - Enhanced Form R
  - Educational Supervisors Report
  - Exit report from your employer

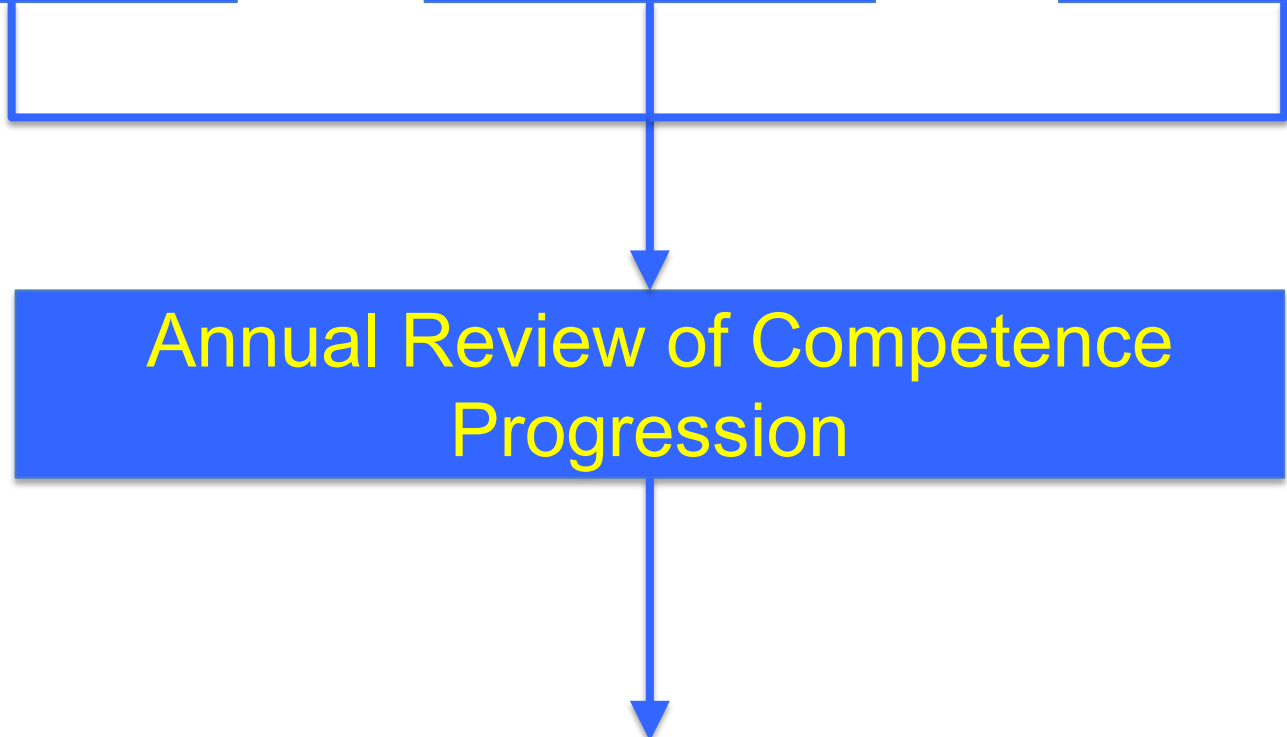
Form R

Educational  
Supervisor  
report

Exit Report  
from  
Employer

Annual Review of Competence  
Progression

5 Yearly Revalidation



# Enhanced Form R

## Trainee information

- Same as previous Form R information  
e.g. personal details, NTN, GMC number
- *NEW*
  - Scope of Practice
  - Complaints and Compliments
  - Significant Events
  - Probity and Health Statements

# Educational Supervisor's Report

- Same as previously, except addition of:
  - Conduct and Capability
  - Complaints
  - Significant Events

# Exit Report

- EMPLOYER'S REPORT
- Information on:
  - Conduct and Capability
  - Complaints
  - Significant Events

Form R	Educational Supervisor Report	Exit Report
<p>Trainee Information</p> <p>Scope of practice</p> <p>Significant Events</p> <p>Complaints</p> <p>Compliments</p> <p>Health + Probity</p>	<p>Conduct/Capability</p> <p>Significant Events</p> <p>Complaints</p>	<p>Scope of Practice</p> <p>Conduct/Capability</p> <p>Significant Events</p> <p>Complaints</p>



# Scope of Practice

You are required to make the RO aware of all relevant periods of employment or placement (including advisory/voluntary roles and roles within non-NHS organisations), both clinical and non-clinical through a scope of practice declaration.

e.g. all training placements, locum shifts etc.

You will be required to provide correspondence addresses for non-training placements to your deanery.

# Conduct and Capability

- Your educational supervisor is supposed to report any issues relating to your conduct and capability at work
- The educational supervisor should have discussed them with you
- Your employer should have made you aware of any issues via your educational supervisor

# Complaints

- You are expected to write a reflective piece on all complaints you have been involved in throughout your scope of practice for your portfolio
- You are also expected to discuss these with your educational supervisor
- It is your employer's responsibility to ensure you are informed of all complaints to which you are associated within their organisation

# Significant Events

- Critical Incidents: unintended/unexpected incidents which could/did lead to harm to one or more patients
- Serious Untoward Incident – incident could/has a significant or catastrophic impact on patient and adversely effects organization + its staff

# Significant Events

- You are expected to write a reflective piece for your portfolio for each significant event you are involved in either directly or indirectly
- You should discuss these with your educational supervisor
- It is your employers responsibility to inform you of any significant events to which you have been associated, within their organisation

# What does my educational supervisor report?

- Your educational supervisor will be asked to confirm whether you have been involved in any conduct, capability or significant event investigation or named in any complaint? YES/NO
- If so, they are asked to clarify whether these have been resolved satisfactorily with no unresolved concerns about a trainee's fitness to practice or conduct? YES/NO
- They are asked to comment/expand if appropriate

# What is an exit report ?

- An exit report will be requested from every organisation declared on your scope of practice
- It is the deanery's responsibility to request them from you employer twice per year – May and November

# What is the format of the exit report?

- The employer is sent a list of all trainees that have worked for them in the previous 6 months (since the last exit report)
- This is called the COLLECTIVE exit report
- They are asked if you have been involved in conduct, capability or Significant Event Investigation or named in complaints whilst working for that employer. YES/NO
- The employer is asked to fill out an individual exception exit report for the trainee, if the answer is 'YES' on the collective report.



# Exception Exit Report

The employer is asked about conduct and capability,  
Significant Events and Complaints:

- Were you involved? YES / NO
- Were they resolved satisfactorily with no unresolved concerns about this trainee's conduct. YES / NO
- If not, they are asked to give a brief summary and the anticipated date of the outcome of the investigation:

# Exception exit report

- The employer should give the trainee a copy of any report that is submitted
- The exception exit report is stored by the deanery for the information of the ARCP panel and RO (postgraduate dean).

# ARCP

- The ARCP panel is responsible for allocating an outcome with regards to your training, following a review of your progression.
- The ARCP panel is also now responsible for stating whether there are any issues relating to revalidation
- This information is provided to your RO (Postgraduate Dean)

# Recommendation for renewal of license

- Your RO, the Postgraduate Dean, is required to submit a recommendation every 5 years, or at CCT
- The recommendation is based on the information available via the ARCP process
- He is accountable for ensuring that this information is correct

# Can I defer?

- Yes
  - Maternity leave
  - Long term sick leave
  - Time out of programme when NOT working

# How do you lose your license?

- The GMC should be made aware of any fitness to practice issues as they arise
- The GMC decides whether to remove your license to practice

# What do I need to do now?

- For each significant event you are involved in:
  - Consider what you have learnt from the event
  - How would you manage things differently?
  - Write a reflective piece about it for your portfolio
  - *This is supposed to be part of a learning process, not a confession of all wrong doing*
- Ensure that the RO you will be assigned in December is correct.
  - If you have changed deaneries since completing your 2012 GMC survey, you must ensure that the GMC is updated.

# Outcome

The GMC has committed to supporting a simple and streamlined approach to the implementation of revalidation that minimises the burden on doctors, designated bodies and responsible officers.

- *It should not alter what a trainee does, only the information they collect about themselves.*